

**UAB NANDI FINANCE**

**Questionnaire for identifying Client (Legal person) and Beneficial Owner**

Questionnaire has been prepared in the light of Law on the Prevention of Money Laundering and Terrorist Financing of the Republic of Lithuania and other provisions of legal acts which are aimed at preventing Money laundering and (or) Terrorist Financing, also, in accordance to the principle “Know your customer”. We ensure that the information provided is deemed to be confidential and will be protected as required by the Law of the Republic of Lithuania on Legal Protection of Personal Data.

**1 INFORMATION ABOUT THE CLIENT**

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- Full title of the legal person:
- Trading name:
- Old names
- Legal form:
- Legal entity's code:
- Registration address:
- Address of an actual place of business:
- Country of residence for tax purposes:
- Taxpayer identification number (TIN):
- Declared place (country) where the management bodies of the legal person are located:
- E-mail address:
- Website:
- Phone number:
- Date of registration:

**2 INFORMATION ON CLIENT’S BUSINESS ACTIVITY**

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Legal person engaged in or are related to these activities (mark all applicable):

- |  |  |  |
|--|--|--|
| Financial services                     | Legal services                                 | Wholesale trade in alcoholic beverages and other alcoholic products, tobacco products                        |
| Gambling, betting and lotteries        | Trade in weapons                               | Real estate related activities (including construction)  |
| Trade in petroleum products            | Crypto (virtual) currencies related activities | Activities related to ferrous, non-ferrous or precious (rare) metals, precious stones, jewelry, works of art |
| Trade in vehicles (all kinds)          | Trust and company services                     |  |
| Audit, accounting services             | Charity  |  |
| Organizing and arrangement of auctions | Pharmaceutical business                        |  |

Other (specify):

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Detailed description of main business activity:

Whether the legal person’s activity requires any license? If yes, please name the activity and indicate the license number:

Main business countries:

Does legal person have activities in offshore jurisdictions? If yes, please name the jurisdictions and activities:

Hereby I confirm the correctness of the provided data

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*(signature of the Client (or Client’s representative))*

Main business partners (name, country):

Number of employees:

Please indicate public sources where it would be possible to verify the information about the Client:

**3 INFORMATION ABOUT SOF AND SOW**

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Please choose company's main SOF from the provided list:

Operating income

Retained earnings

Loans/credits

Other income (specify):

Please choose company's main SOW from the provided list:

Shareholders funds

Dividends

Investments

Sale of shares

Donations

Other income (specify):

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Planned yearly operating turnover (EUR):

Average yearly operating income (EUR):

Funds of the shareholders in Company's capital/assets (EUR):

**4 INFORMATION ON ACCOUNT AND FINANCIAL ACTIVITY**

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Planned monthly outgoing transactions (equivalent in euros):

Planned monthly outgoing transactions (number of transactions):

Planned monthly incoming transactions (equivalent in euros):

Planned monthly incoming transactions (number of transactions):

Average size of outgoing transfer (equivalent in euros):

Average size of incoming transfer (equivalent in euros):

Part of cash money in turnover (%):

Outgoing transfers go to these countries:

Incoming transfers come from these countries:

Bank and (or) other type of payment accounts with FI (FI name and country of registration): \_\_\_\_\_

Services the client plans to use:

IBAN account

SEPA payments

SWIFT payments

Currency exchange

Intended purpose of business relationship

Day-to-day Banking

Operational activities

Other income (specify):

Investment activities

Hereby I confirm the correctness of the provided data

\_\_\_\_\_ (signature of the Client (or Client's representative))

Reason for account opening in Lithuania:

Registered in Lithuania

Real estate in Lithuania

Shareholder or UBO is a resident of Lithuania

Main business partners in Lithuania

Business activities in Lithuania

Other (please indicate):

Please indicate and attach to this questionnaire documents proving business relationship with Lithuania:

**5 INFORMATION ABOUT THE CLIENT'S REPRESENTATIVE**

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Name, surname:

Personal code or date of birth (applicable only for non-citizens of the Republic of Lithuania):

Personal code:

Date of birth (YYYY MM DD):

Citizenship:

Address of residency:

Representative holds a position in the company (please indicate):

YES

Representative phone number:

Representative email address:

Representative has links with other companies  
(company name; country; link):

Is the representative of the company, their family member, or a close associate a PEP\*?

YES

NO

Name, surname:

Country, institution, position, year:

Relation of the Client's Representative with the  
mentioned person:

a person himself/herself

immediate family member\*

close associate\*\*

Hereby I confirm the correctness of the provided data

\_\_\_\_\_ *(signature of the Client (or Client's representative))*

6 Information ABOUT THE HEAD of the COMPANY (fill if representative and head is not the same person)

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Name, surname:

Personal code or date of birth (applicable only for non-citizens of the Republic of Lithuania):

Personal code:

Date of birth (YYYY MM DD):

Citizenship:

Address of residency:

Representative phone number:

Representative email address:

Is the head of the company, their family member or a close associate a politically exposed person PEP\*?

YES

NO

Please indicate the PEP:

Country, institution, position, year:

Relation of the Client's head with the mentioned person:

a person himself/herself

immediate family member\*

close associate\*\*

7 INFORMATION ABOUT UBOs

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Name, surname	Personal code or, in case of a foreign national, date of birth	Citizenship, full address of the actual residence	TA residence country and tax identification number	Percentage of the shares, voting rights or the initial capital held or other relation with the Client
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Please indicate public sources where it would be possible to verify the information about the UBOs

If no UBOs, please indicate the reason:

Please indicate ISIN, if Shares of the client or the company controlling the client are traded on stock exchange of the EU Member States (or the EU-equivalent):

Hereby I confirm the correctness of the provided data

\_\_\_\_\_  
(signature of the Client (or Client's representative))

■ Is the UBO, his/hers family member or close associate a PEP?

YES NO

Please indicate the politically exposed person:

Country, institution, position, year:

Relation of the Client's UBO with the mentioned person: a person himself/herself immediate family member close associate

**8 INFORMATION ABOUT THE CLIENT'S OWNERSHIP AND CONTROL structure (INCLUDING ALL SHAREHOLDERS AND (OR) CONTROLLING PERSONS) (please draw the scheme or provide in separate signed and dated document)\*:**

\*-shareholding of 10 % plus one share or an ownership interest of more than 10 % in the customer held by an undertaking, which is under the control of a natural person(s), or by multiple undertakings, which are under the control of the same natural person(s), is an indication of indirect ownership must be specified.

**DEFINITIONS**

1. FI - financial institution.
2. Nandi Pay - Nandi Finance, UAB.
3. PEP (politically exposed person) - a natural person who is or was during the past 12 months, entrusted with prominent public functions in the Republic of Lithuania, the European Union, international or foreignstate institutions as well as immediate family members or close associates of such person:
  1. Head of State, Heads of Government, minister, vice minister and deputy minister, secretary of the State, chancellor of Parliament, Government or Ministry;
  2. Member of the Parliament
  3. Member of the Supreme Courts, the Constitutional Courts or any other judicial authority, against whose decisions there is no judicial remedy
  4. Mayor of the municipality, municipality administration director
  5. A member of the management body of the Supreme State Audit and Control Office or the Central Bank Chairman, Deputy Chairman or a member of the Management Board
  6. an Ambassador, Chargés d'affaires ad interim, The Chief of Defense of the Republic of Lithuania, Commanders of the armed forces and units, Chief of Defense Staff or Senior Officer of Armed Forces of Foreign Countries
  7. A member of the management or supervisory body of the company managed by the state or municipality
  8. Leaders or deputies of an international intergovernmental organizations, Member of the Management or Supervisory body (international intergovernmental organizations could be United Nations, Council of Europe, Institution of European Union, Organization for Security and Co-operation in Europe, Organization of American States, NATO and others)
  9. Head, deputy head or member of the management body of political party Immediate family members – the spouse, the person with whom partnership has been registered (hereinafter referred to as the "cohabitant"), parents, brothers, sisters, children and children's spouses, children's cohabitants.
10. Close associate:
  1. a natural person who, together with the person who is/was entrusted with the above mentioned prominent public functions, participates in the same legal entity or maintains other business relations;
  2. a natural person who is the only owner of the legal entity set up or operating de facto with the aim of acquiring property or another personal benefit for the person who is/was entrusted with the above mentioned prominent public functions.
4. SOF - means source of funds.
5. SOW - means source of wealth.
6. UBO (ultimate beneficial owner) – the natural person who owns or manages the Client (legal person) through direct or indirect ownership of a sufficient percentage of the shares or voting rights in that legal person, including through bearer shareholdings, or through control via other means, other than public limited liability companies whose securities are traded on regulated markets that are subject to disclosure requirements consistent with the European Union legislation or subject to equivalent international standards. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person is an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by an undertaking, which is under the control of a natural person(s), or by multiple undertakings, which are under the control of the same natural person(s), is an indication of indirect ownership.

Hereby I confirm that I am duly authorised to sign this questionnaire on the behalf of Client, that the provided information is correct, and I undertake to immediately inform about any changes of it. I understand that the information provided in the questionnaire or a part of it may be transferred to the tax administrator or other institution authorised by the Government of the Republic of Lithuania according to the procedure established by them, when such transfer is performed while implementing the international treaties and agreements of the Republic of Lithuania, legal acts of the European Union and the Republic of Lithuania regarding the automated exchange of information about the financial accounts.

By signing this document and below confirming the correctness of provided data the representative of the Client acknowledges that the Nandi Pay process his/hers personal data according to Nandi Pay's Privacy Policy which can be found at <https://www.nandipay.com>

Hereby I confirm the correctness of the provided data: Yes, data is correct

\_\_\_\_\_  
 (Name, surname, position and signature of the Client's representative, date of signing, company seal, in case the Client must have it according to the legal acts regulating its activities)